



08-27-02

CP 1637

EXPRESS MAIL NO. EV170134007US

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**(To be used for all correspondence
after initial filing)

Application Number	09/347,496
Filing Date	July 2, 1999
First Named Inventor	Jiangchun Xu
Group Art Unit	1634
Examiner Name	Jehanne Souaya
Attorney Docket No.	210121.471C1

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AUG 29 2002

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ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
- ☒ Fee Attached
- ☒ Amendment/Response
 - ☐ After Final
- ☒ Affidavits/declaration(s)
- ☒ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement; Form PTO-1449
- ☐ Cited References
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts under 37 C.F.R. 1.52 or 1.53
- ☐ Response to Missing Parts/Incomplete Application

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Request for Corrected Filing Receipt
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation, Change of Correspondence Address
- ☐ Declaration
- ☐ Statement under 37 CFR 3.73(b)
- ☐ Terminal Disclaimer
- ☐ Small Entity Statement
- ☐ Request for Refund

- ☐ CD(s), Number of CD(s) _____
- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Return Receipt Postcard
- ☒ Additional Enclosure(s) (please identify below):
 - Figure 1 as attached to Harlocker declaration

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual Name	Julie A. Urvater, Ph.D., Patent Agent Registration No. 50,461
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PATENT TRADEMARK OFFICE

Signature

August 26, 2002

Date

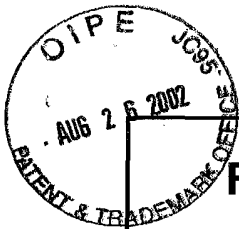
CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date specified below.

Typed or printed name

Signature

Date:



EXPRESS MAIL NO. EV170134007US
PTO/SB/17 (11-00)
Used for use through 10/31/2002. OMB 0651-0032

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 568

Complete if Known

Application Number 09/347,496
Filing Date July 2, 1999
First Named Inventor Jiangchun Xu
Examiner Name Jehanne Souaya
Group Art Unit 1634
Attorney Docket No. 210121.471C1

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METHOD OF PAYMENT

☒ Payment Enclosed:
☒ Check ☐ Credit card ☐ Money Order ☐ Other
☐ Deposit Account:
Deposit Account Number 19-1090
Deposit Account Name Seed Intellectual Property Law Group PLLC
The Commissioner is authorized to (check all that apply)
☐ Charge fee(s) indicated below ☒ Credit any overpayments
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee
☒ Charge any deficiencies
to the above-identified deposit account.
☐ Applicant claims small entity status. See 37 CFR 1.27.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee(\$)	Fee Code	Fee(\$)		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

2. EXTRA CLAIM FEES

Total Claims	Claims prev. paid	Extra Claims	Fee from below	Fee Paid
17	-15	2	168	168

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 168

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	400
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 400

SUBMITTED BY

Name (Print/Type) Julie A. Urvater, Ph.D., Patent Agent
Firm Name/Address
Signature Julie Urvater
Date August 26, 2002
Registration No. Attorney/Agent 50,461



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PATENT TRADEMARK OFFICE



Express Mail No. EV170134007US
PATENT

#22B
KAY
9-24-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jiangchun Xu
Application No.: 09/347,496
Filed: July 2, 1999
For: COMPOUNDS FOR IMMUNOTHERAPY AND DIAGNOSIS
OF COLON CANCER AND METHODS FOR THEIR USE

TECH CENTER 1600/2900

RECEIVED
AUG 29 2002

Examiner : Jehanne Souaya
Art Unit : 1634
Docket No. : 210121.471C1
Date : August 26, 2002

Commissioner for Patents
Washington, DC 20231

RESPONSE UNDER 37 C.F.R. § 1.112

Commissioner for Patents:

In response to the Office Action dated March 27, 2002, please extend the period of time for response 2 months, to expire on August 27, 2002. Enclosed are a Petition for an Extension of Time and the requisite fee. Please amend the specification as follows:

In the claims:

Please cancel claims 80, 81, 83, and 84.

Please amend claims 79, 82, and 85 to read as follows:

08/28/2002 AOSMAN1 00000098 09347496

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168.00 DP